



ARKANSAS SECURITIES DEPARTMENT
HERITAGE WEST BUILDING, SUITE 300
201 EAST MARKHAM STREET
LITTLE ROCK, AR 72201



TELEPHONE: 501.324.9260 FAX: 501.324.9268 INTERNET: www.state.ar.us/arsec

FMLA Form LO-003
LOAN OFFICER LICENSE RENEWAL APPLICATION

NOTE: PLEASE SUBMIT ORIGINAL FORMS ONLY; THE DEPARTMENT WILL NOT ACCEPT FAXED COPIES. INCOMPLETE FORMS WILL BE RETURNED. PLEASE RETAIN A COPY FOR YOUR RECORDS.

Application Fee: \$50.00 (Non Refundable)		Current Employer: <input type="text"/>	
		Employer's Arkansas License Number: <input type="text"/>	
1. AR Loan Officer License No: <input type="text"/>		SSN: <input type="text"/>	
Full Legal Name:	Mr./Ms.	<input type="text"/>	
	(Circle one)	First	Middle Last
Home Address:	<input type="text"/>		
(Physical Address - No PO Box)	<input type="text"/>		
Business Address:	<input type="text"/>		
(Physical Address - No PO Box)	<input type="text"/>		

2. Please read the following questions carefully. Details of affirmative responses must be reported below.	
Please list date(s), court names, case number, all parties and court ruling or judgment amount for each matter reported.	
(a) Have you ever pled guilty or nolo contendere to, or been convicted of a crime punishable by one or more years imprisonment?	<input type="checkbox"/> Yes <input type="checkbox"/> No
(b) To the best of your knowledge, are you a subject of any pending criminal investigation or proceeding?	<input type="checkbox"/> Yes <input type="checkbox"/> No
(c) Have you been a subject of any action, order or proceeding, pending or concluded, by any state or federal agency regulating the mortgage banking, mortgage brokering, or mortgage servicing industries during the past five (5) years?	<input type="checkbox"/> Yes <input type="checkbox"/> No
(d) Have you been a subject of any action, order, or proceeding, pending or concluded, by any state or federal agency regulating the banking industry, securities industry, insurance industry, real estate, or any other financial services industry during the past five (5) years?	<input type="checkbox"/> Yes <input type="checkbox"/> No
(e) Have there been any civil judgments entered against you arising from complaints alleging fraud, dishonesty, misrepresentation, theft, misappropriation, bad faith, deceptive trade practices, or predatory lending practices during the past five (5) years?	<input type="checkbox"/> Yes <input type="checkbox"/> No
(f) Have you been named as a defendant in any pending civil court proceeding in which a complaint alleges fraud, dishonesty, misrepresentation, theft, misappropriation, bad faith, deceptive trade practices, or predatory lending practices?	<input type="checkbox"/> Yes <input type="checkbox"/> No

Instructions.

This page should be used to report details of affirmative responses to questions above. Identify the question number for which additional information is being provided. Report only responses not previously reported. Attach additional pages if necessary.

Item No.	Answer
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I will comply with the provisions of Ark. Code Ann. § 23-39-501, *et seq.*, (Fair Mortgage Lending Act). I understand that non-compliance may result in a suspension or revocation of my Loan Officer license.

I understand that I may be employed by only one Mortgage Banker or Broker at a time. I further understand that, if licensed, my license is terminated when I change employment and I must apply for a new license if employed by another Licensed Mortgage Banker or Broker.

I hereby swear or affirm that I have reviewed this application and the accompanying information submitted herein, and that the information contained therein is true, accurate, correct and complete to the best of my knowledge. I understand that the submission of false information to the Arkansas Securities Commissioner could result in the revocation or suspension of my license. I understand that it is unlawful pursuant to Section 23-39-516(a) of the Arkansas Fair Mortgage Lending Act for any person to make or cause to be made, in any document filed with the Arkansas Securities Commissioner, any statement that is, at the time and in light of the circumstances under which it is made, false or misleading in any material respect. Further, I understand that making or causing to be made a false or misleading statement as described above, if made willfully in a document filed with the Arkansas Securities Commissioner, is a Class B felony pursuant to Ark. Code Ann. Section 23-39-516(b), and carries a penalty of imprisonment of not less than five (5) years nor more than twenty (20) years imprisonment.

Loan Officer Signature

Date

(Print Name)

Title

Subscribed and sworn to before me this _____ day of _____, _____.

NOTARY PUBLIC

My Commission Expires: _____

The applicant named above is authorized by the undersigned to submit this loan officer renewal application.

Signature of Company's Authorized Representative

Date

(Print Name)

Title